



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/849,065	05/04/2001	Ward Dean Halverson	101430-0131	8164
21125	7590	04/06/2007	EXAMINER	
NUTTER MCCLENNEN & FISH LLP WORLD TRADE CENTER WEST 155 SEAPORT BOULEVARD BOSTON, MA 02210-2604			PADGETT, MARIANNE L	
			ART UNIT	PAPER NUMBER
			1762	
SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE		DELIVERY MODE	
3 MONTHS	04/06/2007		PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No.	Applicant(s)	
	09/849,065	HALVERSON, WARD DEAN	
	Examiner	Art Unit	
	Marianne L. Padgett	1762	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 28 December 2006.
- 2a) This action is **FINAL**. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1,4-8,10-19,22-28,33-39,50 and 52-58 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 1,4-8,10-19,22-28,33-39,50 and 52-58 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Art Unit: 1762

1. The amendment to the claims of 12/28/2006 corrects 112, second & objection problems in sections 2-3 of the action mailed 6/23/2006 four claims 21, 31 & 52. The amendments adding new limitations to independent claims 1, 33 & 50 removes the 102 rejection over Conover et al.
2. Claim 22 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form.

It is that it that the limitations of claim 22 have been inserted into the last two lines of independent claim 1 by the 12/28/2006 amendment, hence this claim is no longer further limiting.

3. Claims 24-26 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter, which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Applicant has amended claim 1 such that the "coating... with a selected bioactive material" is now required to be "selected from the group consisting of an anti-thrombogenic, an anti-coagulant, an antibiotic and an anti-microbial material", however claims 24-26 require selection from different groups or species, such that it is not clear that applicant has support for combining what is now required in the independent claim with these dependent claims which were previously separately claimed coating materials/bioactive materials.

It is noted that while use of "said coating material" in claims 22-26 does not create any serious antecedent problems, since both coating & material were used in the description in the independent claims, it would be preferable in dependent claims to use the same "bioactive material" nomenclature presently used in the independent claim, for any of these claims which are maintained in the case.

Art Unit: 1762

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1, 4-8, 10-19, 21-28, 31, 33-39 and 49-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Subramanian (5,914,115) in view of Williams et al. (4,927,676), further considering Conover et al. (6,136,389) or Yamazaki (5,601,883) and/or Kieser et al (5,053,244) and/or Wilhelm (4,897,285), all references previously discussed in sections 5-9 & 5 of the 12/17/04 & 6/23/2006 action, respectively, & 4-8 of paper # 9, mailed 3/24/04.

Applicant has amended the claims, such that independent claims 1, 33 & 50 now require depositing the coating by contacting plasma treated tubular surface with a solution of bioactive materials (anti-thrombogenic, anticoagulant, antibiotic or antimicrobial), as previously discussed the primary reference Subramanian teaches the specific types of bioactive agents (column 1, lines 50-63; column 3, lines 23-32 & 54-column 4, line 15+; column 5, lines 1-30+) to be contacted to plasma functionalize surfaces of tubular substrates, and while most of these disclosures do not mention whether or not the bioactive agents are in solution or not the type of large complex biological molecules taught there in would have been expected to be deposited via solution by one of ordinary skill, especially considering the particular example of plasma treated catheter contacted by a bioactive agent on the top of column 5 discusses it as being in solution, where the treated device is immersed in the solution, i.e. contacted.

To reiterate previous discussion, Subramanian (5,914,115) teach functionalizing the surface of a medical device, inclusive of various catheters or vascular stents, etc., where elongated tubular members with lumen extending through the length are particularly noted, and where the plasma treated surface is further contacted with a bioactive agent, such as antithrombogenic coating like heparin, or antimicrobial

Art Unit: 1762

or antifungal agents, or growth factors, etc. A preferred embodiment for functionalization uses RF glow discharge plasma (methane/oxygen plasma or water/oxygen plasma or acrylic acid/carrier gas like He or Ar, etc.) to form chemically reactive groups on the surface, with plasma species interacting with the surface in a variety of reactions including breaking of bonds and/or forming of new bonds to attach functional groups, such as hydroxyl groups or carboxyl groups or amine groups etc., where modifications are noted to effect the contact angle, thus include reactions that are inherently increasing surface energy via the plasma treatment. While Subramanian (115) does not explicitly discuss treating the interiors of the tubes or tubular members, they do teach "the invention also provides general methods for treating a surface of a medical device to inhibit thrombosis which involves causing a bioactive agent to become covalently bound to a medical device surface exposed to blood flow...". Since the surface of catheters & vascular stents, etc. which are exposed to blood flow are expected to be the interior or the lumen of the tubes, this teaching is considered to be suggesting that interior coating is occurring. Again, specifically note abstract; col. 1, lines 21-33 & 51-63; col. 2, lines 35-col. 3, lines 32 & 54-col. 4, lines 36 & 48-52+, and examples starting col. 4, line 65-col. 5, line 30+ for the plasma pretreatment embodiment. Subramanian (5,914,115) differs from the claims by employing a generic low-temperature or glow discharge RF plasma, instead of an electron cyclotron resonance (ECR) plasma, which is spatially localized, and hence parameters associated therewith.

Williams et al. (676) teach a process which is specifically directed to plasma treatment of the lumen walls of a small diameter plastic tubing in order to adhere endothelial cells to providing anti-thrombogenic surface to vascular grafts. Williams et al. further teach that a conventional plasma generator may be used where such generators include radiofrequency or microwave frequency equivalently, noting that useful high-frequency power sources range through radio frequency into microwave frequencies, with useful techniques inclusive of microwave guide techniques (abstract; summary; cols. 3-6, especially col. 4, lines 23 for tubing, 25-40 for plasma types, 48-59 for gases as

Art Unit: 1762

claimed & as used in Subramanian, and col. 5, lines 30-36 for addition of functional groups also consistent with the primary reference). It would have been obvious to one of ordinary skill in the art given the analogous teachings of Williams et al. and Subramanian, that conventional high-frequency plasmas that employed microwave frequencies would have been expected to be equivalently useful in the processes of Subramanian, as Williams et al. showed the equivalent usefulness of RF glow discharge & microwave plasmas for like plasma pretreatment of tubular substrates, although narrower purposes/enduse directed to a more specific bioactive agent deposited thereafter. As Williams et al. (676) does not provide a specific example of microwave generators or microwave waveguide techniques, only the general suggestion of their usefulness, it would have been obvious to one of ordinary skill in the art to look to the prior art for suitable microwave plasmid techniques that would be capable of treating tubular substrates as taught, a specially given the teaching's implied suggestion that they are known to be capable of treating the interior of tubular substrates, i.e. the lumen.

The tertiary references of Conover et al. or Yamazaki (883) and/or Kieser et al (5,053,244) and/or Wilhelm (4,897,285), as has been previously discussed, all provide teachings on known microwave ECR plasmas that are capable of plasma treating various shaped substrates, including tubular & their interiors, hence would have been obvious to supply a means for treating these type of substrates with microwave plasmas as suggested by the combination of Williams and Subramanian. Specifically, Conover et al. is discussed below, where the provided ECR details & reasons for obviousness of specific parameter ranges, would have been expected to be applicable, with routine experimentation for specific materials and apparatus to the above combination.

Alternately, Wilhelm (285) teach at ECR plasma technique specific to treating the internal surfaces of pipes or lengthy hollow microwave guides, but further teach that their "invention is generally suitable for providing all coatings which can be produced by conventional thermal or plasma enhanced CVD methods..." (summary, especially col. 2, lines 11-13, thus suggesting its applicability to treating the

Art Unit: 1762

interiors of any hollow objects that require plasma treatment. Further note that Wilhelm (285) teach that the pressure in the waveguide/hollow object, the magnetic field strength and the localized area thereof & the microwave frequency & power are all selected so that ECR occurs in the desired area to trigger the discharge reaction in the gas supplied for furnishing the treatment/coating (col. 2, lines 57-68), suggesting relationships of applicant's claimed conventional formula & parameter ranges determined the routine experimentation as previously argued.

Alternately, Kieser et al. teacher multiplicity of arrangements of microwave input, gas input & magnetic field in order to localize ECR to permit a locally controlled ignition of plasma, with substrates supplied either on a substrate support (3) which is moved past the localized plasma or via spooled material (abstract; figures; col. 2, lines 42-58; col. 3, lines 45-62; col. 4, lines 14-col. 5, lines 20+). Teachings of Kieser et al. include treatment of three-dimensional substrates on the support and note that arrangements of the microwave window and magnetic fields may be varied in many ways, but what is important is only the relationship between the substrate surface to be treated in the region of electron cyclotron resonance, teachings (col. 5, line 58-68; col. 8, lines 7-16), which would have been expected to be applied by one of ordinary skill in the art to the combination of Williams & Subramanian to supply the suggested conventional microwave plasma.

Alternately, Yamazaki (883) teaches ECR microwave enhanced plasma treatment of various shaped substrates inclusive of cylindrical ones whose entire external surface is treated, but as these objects are present in their entirety in the ECR plasma gas and region, cannot be considered to exclude treatment also of the interior, at least in part, as discussed in previous actions (abstract; figures; column 2, lines 9-32 & 54-62; column 3, lines 40-column 4, line 40 column 5, lines 24-48+; with column 6, lines 61-68 suggesting further equivalent usages between ECR microwave plasma and glow discharge are plasmas). Therefore, depending on the overall shape of the particular hollow tubular medical device desired to be plasma treated, it would have been obvious to one of ordinary skill in the art to employ the

Art Unit: 1762

ECR microwave plasma teachings of Yamazaki (883) to supply details for the combination of Williams & Subramanian's suggested conventional microwave plasma, especially considering hollow tubular substrates, such as the stent illustrated in Subramanian's figure 5, whose interior would have been expected by one of ordinary skill to be effectively treated by a configuration suggested by Yamazaki, as it would allow movement of plasma gasses to all parts of such a woven stent. Note that applicant's claimed treatment of inner surfaces does not exclude treatment of exterior surfaces simultaneously in these claims.

While any of these tertiary references may supply details and means for achieving an ECR plasma to the combination of Williams & Subramanian, they are also complementary to each for supplying alternate configurations for achieving the ECR microwave plasma, as well as complementary teachings on parameter control therefore.

6. Either Makker et al (5,942,277) or Narayanan (5,486,357) as previously discussed have teachings concerning RF plasma treatment of tubular substrates, thus remain analogous to those aspects of Williams et al (4,927,676), and cumulatively considered with respect to the above rejection.

7. Claims 1, 4-8, 10-17, 19, 21-22, 24-25-28, 31, 33-37 & 49-52. are rejected under 35 U.S.C. 103(a) as being unpatentable over Conover et al (6,136,389), as discussed in sections 8-9 & 7-8 of the actions mailed 12/17/04 & 6/23/2006, respectively, and further in view of Subramanian.

Applicants has amended the independent claims to require the coating subsequent to the plasma treatment to be contacting with bioactive material in solution, and while Conover et al, generally suggests on column 15, lines 25-50, uses of their plasma treated tubular materials in medical diagnostic applications and operations, bio-affinity applications, such as genosensors, DNA probes, biopurification and separation operations, etc. , specific details are not given, such as the means of creating this particular products that clearly involve bioactive materials, hence it would've been obvious to one of ordinary skill in the art to use means discussed in prior art references, such as Subramanian (discussed above) which

include plasma treated tubular surfaces & clearly indicate contacting via solution is a conventional means of applying such bioactive agents.

To reiterate, Conover et al teach plasma treatment of porous substrates that may be tubular; may be materials such as glass or plastic (or non porous plastic), which have been exposed to organometallic vapors such as platinum hexafluoroacetylacetone; where the plasma treatment may use gases, such as inert (Ar), H₂, O₂, fluorocarbons, or have an additive exemplified by propylene; plasma may use frequencies from 50 Hz to 10 GHz, where 13.56 MHz is taught useful, but also it is taught "Also well known in the art are potential beneficial modifying means of increasing the ionization potential and/or providing spatial control of the plasma through the use of separate magnetic fields, i.e., electron cyclotron resonance (ECR) microwave plasma technique" (col. 3, line 3-7; claim 11); where the plasma causes decomposition of an organometallic precursor to deposit metal (Pt or Au) on the exposed surface that may be limited to interior or exterior of tubes. Note that this decomposition on the exposed surface explicitly reads on the option of chemical bond scission. After platinization (metallization) a subsequent coating may be applied, with such suggested coating including plasma polymerized ones or propylene monomers useful in biomedical applications; with suggested applications including Pt/Au coat then attaching biological probes, enzymes, and the like (col. 16, lines 30-31), thus reading on subsequent treatment to coat with a bioactive material. Particularly see, the abstract; col. 2, lines 9-29 and 50-col. 3, line 30 for plasma control; col. 3, lines 31-35 (continuous treatment of tubes) 46-50; col. 4, lines 6-35 and 46- col. 6, line 20, esp. col. 5, line 1-25 (#12 & 13 plasma and single side deposition in tube), 15(a-b) for various subsequent coats, and 17 for biomedical applications. Examples 2, 4, 5, 7, 8-10 (inner tube diameter 7 mm), 15, 16, 17 (A) and 18 directed to tubular substrates, only 16 indicating interior + exterior coating; col. 13, line 64 – col. 14, lines 8 and 63-67 for gases; Col. 15, lines 3-10 for interior surfaces coating, lines 30-40 for applications, lines 51 – col. 16, line 10 for substrate materials and col. 16, lines 11-33 for further coating sequences. Particularly note claims 1, 3-4 and 11-13, with the claimed magnetic

Art Unit: 1762

field in the reaction zone finding its support in Conover in the disclosure of the optional use of ECR.

While Conover does not give the formula in applicant's claim 8, it must have been satisfied to produce the taught ECR plasma and the magnetic field must have been selected to do so. Note that noble metals, such as Pt or Au, are generally considered to have anti-microbial or anti-inflammatory properties, hence subsequent complexes made therewith for the bioactive options could be considered inherently inclusive of such properties. Also, plasma treatments due to the energy and radiations involved, inherently affect a sterilization process or effect, regardless of the other intended results.

That Conover et al teach their initial plasma treatment of porous substrates that may be tubular causes decomposition of an organometallic precursor to deposit metal (Pt or Au) on the exposed surface that may be limited to interior or to exterior of tubes reads on applicant's claimed plasma treatment of generic tubular substrates, where the chemical &/or physical modification includes chemical bond scission. Since, after platinization (metallization) a subsequent coating may be applied (examples on col.15, lines 25-40, including bio-applications), this reads on subsequent coating as amended, hence applicant's amendments do not remove the rejection & arguments remain unconvincing.

As previously discussed, Conover et al do not provide specific parameters for use when ECR plasmas are employed, however the magnetic field strengths known to be useful to produce ECR conditions are generally in the claimed range, and Conover et al provide discussion on the importance of control of gas flow and pressure to localize the plasma region and provide a useful guide to adjusting power (Watts) and flow rate according to gases employed and reactor size/shape, hence it would have been obvious to one of ordinary skill in the art to employ such teachings with the ECR option to optimize reaction parameters via routine experimentation, where claimed values would have been within expected optimization.

While the examples where particular internal diameters (ID) as claimed are recited, are not particularly directed to ECR plasma, it would have been obvious to one of ordinary skill in the art to treat

Art Unit: 1762

such substrates with the option of ECR plasma due to ECR's suggested benefits, and due to the examples suggested desirability of treating substrates of such ID via taught processes.

While the only specifically mentioned attached biological material in Conover is enzymes, bio-affinity operations are taught in general, with specific uses listed as "medical diagnostic...DNA probes, and biopurification and separation operations...application in synthesis...of peptides" (col. 15, lines 28-40), hence when considered with Pt or Au's inherent properties, would have suggested the obviousness of uses, where the materials deposited are anti-microbial or anti-inflammatory or effect all growth as claimed, because these teaching suggest the coatings would have properties of these types.

9. Claims 53-58 are rejected under 35 U.S.C. 103(a) as being unpatentable over Conover et al as discussed in claims 1, 4-8, 10-17, 19, 21-22, 24-28, 31, 33-37, 39 and 49-52 above, and further in view of Wilhelm & Kanai et al (5,976,257), & optionally Kieser et al for claim 53, as discussed in sections 12, 7 & 9 of the actions mailed 12/17/04, 9/7/2005& 6/23/2006.

With respect to the amendment claim 53, which has inserted that the air radiation with electromagnetic radiation at an ECR frequency exposes at least a portion of both interior and exterior, (support may be found on second full paragraph, page 9 of applicant specification) come is not seen to create any significant difference in the process with respect to the teachings of Conover et al., as they are exposing tubular substrates to ECR microwave plasmas (column 3), where the microwave frequencies used therein would not be blocked by the substrates employed, such as glass, hence both sides of their tubular substrates would have been exposed to irradiation, however they also teach that one may selectively treat exterior or interior (column 5, lines 10-30).

Independent claims 54 has been amended, to insert "by flowing a gas through said lumen" to describe the means for creating internal pressure in the lumen of the tube, however as previously discussed Conover et al teaches use of use of "techniques known in the art for 'single side' or 'counter flow' low pressure chemical vapor deposition (LPCVD)... can be modified to obtain controlled

Art Unit: 1762

platinization layer or zone within the interior wall of the substrate tubing, for deposition at a specific location on or along the wall", where the terminology suggests that flow, hence pressure is involved. If one has flow in a CVD process, there is clearly gas flow involved. Applicant previously asserted without support that this only refers to different chemical deposition (p.15 of 6/20/05 response), however 'single side' or 'counter flow' LPCVD while inclusive of providing chemical differentiation interiorly or exteriorly, is clearly suggestive of different pressures, as such means are typical ways of producing taught flow effects.

To reiterate, in teaching platinizing (i.e. plasma treating) interior or exterior, selectively (col. 5, lines 10-30, esp. 15-25), Conover et al does not give specific details, but mention use of "techniques known in the art for 'single side' or 'counter flow' low pressure chemical vapor deposition (LPCVD)... can be modified to obtain controlled platinization layer or zone within the interior wall of the substrate tubing, for deposition at a specific location on or along the wall". While this does not specifically disclose the use of a pressure differential between interior and exterior of the tube, the terminology suggests that flow, hence pressure is involved. Also while directed specifically to porous substrates, the listing of substrates to which Conover et al's process may be applied, also includes non-porous plastic (col. 15, line 65).

ECR plasma are known to be limited by pressure, and use of pressure differentials between areas to be coated and those not to be coated are known in the art, with Wilhelm (discussed previously; abstract; figure; summary) showing use of higher external pressure and lower internal pressure to localize ECR plasma treatment inside a tubular substrate thus treating its interior surface. The Kanai et al reference (Abstract; figures, cover exemplary; col. 21, lines 54 – col. 22, line 55, esp. lines 4-10 and 35-53) use the substrate to create an isolated tubular area that employs a pressure differential to create plasma inside the tube, such that plasma treatment occurs inside, but not outside and that the shape withstands the pressure difference between inside and outside the plasma chamber/ zone. Given these teaching

Art Unit: 1762

concerning relevant microwave plasmas and use of pressure in localizing, where the plasma occurs with respect to a tube shaped substrate to be coated, and consideration of the above discussions/teachings of Conover et al concerning one side coating in low pressure CVD process, it would have been obvious to one of ordinary skill in the art to control the pressure in the tubes of Conover et al, such that only the desired surface, whether interior or exterior (or both) had the correct pressure to sustain the ECR plasma, so that only areas to be coated/treated were exposed to plasma. Note that this would be easiest with the taught non-porous plastic substrates, but even with porous ones one ordinary skill would have found it obvious to adjust flow and evacuation rates to maintain effective pressure differences, especially considering the teaching of Kanai where mesh or "punching" boards are used in maintaining pressure differences. Kieser et al is optionally considered for continuous elongated substrate configurations where exteriors are coated, which would have been consistent with Conover et al's own continuous substrate teachings, where the principles expressed in Wilhelm or Kanai et al for localizing plasmas to an interior would apply equally to an exterior where the inside is not to be coated, as it is still the same pressure ranges that make plasma possible or not.

Note as ECR plasmas are shown by the art to be localized & dependent on a combination of both pressure and localized magnetic field, that the exclusion of one parameter meeting the required conditions would clearly be recognized by a competent practitioner to exclude the presence of the ECR plasma in the region lacking the required conditions, thus for hollow tubular substrates which are airtight between there in interior and exterior, it would have been abundantly clear to one of ordinary scale the supply of the correct pressure to only the side of the tube that was desired to be plasma treated, would clearly have limited the plasma treatment to that locale, especially given teachings of Wilhelm (285) or Kanai et al (5,976,257), or Kieser et al, which suggests such, and the more general teachings of Conover et al. which appear to expect one of ordinary skill in the art to be capable of providing means for differential plasma treatments of interior and exterior, such as via differential pressure.

Art Unit: 1762

10. Claims 53-58 are rejected under 35 U.S.C. 103(a) as being unpatentable over Subramanian (5,914,115) in view of Williams et al. (4,927,676), further considering Conover et al as applied to claims 1, 4-8, 10-19, 21-28, 31, 33-39 & 49-58 above, as appropriate, and further in view of Wilhelm (285) & Kanai et al (5,976,257), & optionally Kieser et al for claim 53, for reasons as discussed above in sections 9 & 5.

11. Applicant's arguments filed on 12/28/2006 and discussed above, have been fully considered but they are not persuasive.

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

13 Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marianne L. Padgett whose telephone number is (571) 272-1425. The examiner can normally be reached on M-F from about 8:30 a.m. to 4:30 p.m.

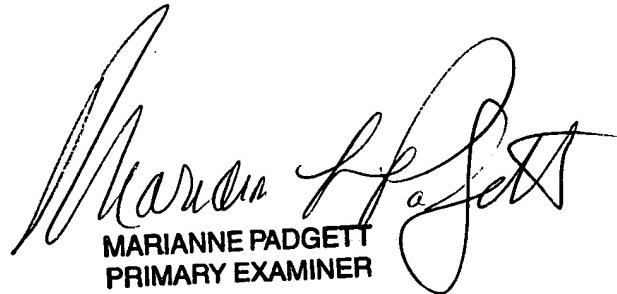
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Meeks, can be reached at (571) 272-1423. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Art Unit: 1762

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MLP/dictation software

4/1/2007



MARIANNE PADGETT
PRIMARY EXAMINER